

# Continuous Professional Training for Business Success

## About IQ-EQ

IQ-EQ is your trusted partner for a comprehensive range of regulatory compliance consulting solutions. Our expertise spans initial regulatory applications, authorizations, policy and procedure development, quarterly reporting, and ongoing advisory services. With a team of seasoned compliance consultants, we cultivate long-term strategic partnerships to drive your success. Whether you require ad hoc support, a seamless global package, or fully outsourced managed compliance solutions, we adapt to your unique needs. Our goal is to furnish the regulatory compliance expertise and guidance you need, allowing you to focus on business growth and client protection.

With over 470 regulatory compliance experts based in the UK, the U.S., and across Asia, IQ-EQ stands as the largest independent regulatory compliance firm in the Asia-Pacific region following our acquisitions of ComplianceAsia and Lymon.

## Compliance Training Programs

In today's dynamic business landscape, staying compliant with regulations is paramount for success. IQ-EQ's experienced training team ensures your staff remain updated in the rapidly changing and complex Asian operating environment. Our comprehensive training programs consist of both highly customized in-person training and standardized online training options. We offer continuous professional training courses that are fully compliant with the mandatory training requirements of the SFC and the HKMA in Hong Kong and MAS in Singapore. With fully tracked hours and certificates of attendance, financial institutions can demonstrate to their regulators that they have implemented a robust governance structure around their internal compliance training programmes.

Our courses cover specific jurisdictions, anti money laundering and countering terrorist financing, ethical and conduct standards, regulatory capital, insurance, risk management, sales and suitability, market abuse and insider trading, ESG, financial crime, and much more. At IQ-EQ, we empower you with the insights needed to navigate the intricate world of compliance seamlessly.

Our online training platform can be accessed at a company or individual level and we can host white labelled training programmes for financial institutions.

## Why choose our training programs?

- Experienced Instructors
- Comprehensive Content
- Multilingual
- Affordable
- Current Insights
- CPD/CPT Accredited
- Flexible Schedules

## Hong Kong Online Training Courses

### Introductory Courses

Regulation and Compliance – General Introduction for SFC Licensees Hong Kong	English
Introduction to the Responsible Officer and Manager-In-Charge Regime	English
General Introduction to Cyber Resilience Hong Kong	English
Introduction to the Asset Management Regime and the Fund Managers Code of Conduct (FMCC)	English
Regulation and Compliance – Going further on Licensed Corporations SFC Obligations	English
General Introduction to the Virtual Assets Regime in Hong Kong	English
监管与合规 - 香港证监会 (SFC) 持牌人通用课程	Chinese
负责主任和核心职能主管(MIC)制度入门	Chinese

### Anti Money Laundering and Counter Terrorism Financing Courses

Introduction to Anti-Money Laundering and Countering Terrorist Financing Hong Kong	English
Anti-Money Laundering / Counter Terrorism Financing in Hong Kong	English
AML & CFT for Trust and Company Service Providers	English
Anti-Money Laundering, Countering the Financing of Terrorism (AML/CFT) and the Cayman Island Regulatory Regime	English
Risk Based Approach to Anti-Money Laundering and Counter-Terrorism Financing	English
AML & CFT 通用课程	Chinese
AML & CFT 香港培训课程	Chinese

### Specialist Courses

Investment Sales and Suitability in Hong Kong	English
Market Abuse and Insider Dealing in Hong Kong	English
Personal Data Protection in Hong Kong	English
Corruption and Bribery Prevention for Bankers in Hong Kong	English
Corruption and Bribery Prevention for SFC Licensed Persons in Hong Kong	English
Hiring Risk in Asia	English
Anti-Discrimination and Harassment in Hong Kong	English
投資銷售與合適性 (證監會持牌人或註冊人適用)	Chinese
防止贪污贿赂培训课程 ——适用于香港银行 从业员	Chinese
防止贪污贿赂培训课程 ——适用于香港证监会持牌人	Chinese

**Ethics Courses**

Ethical Behavior for SFC Licensed persons in Hong Kong	English
Ethical Behavior in Banking in Hong Kong	English
香港证监会 (SFC) 持牌人道德操守行为	Chinese
香港银行从业人员道德操守行为	Chinese

**ESG Courses**

ESG for Fund Managers in Hong Kong	English
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## Fund Manager Courses in Singapore

We have partnered with the Investment Management Association of Singapore (IMAS) to be a key course provider for online training courses for fund management industry practitioners in Singapore. With our knowledge and expertise, we create the content for several training programmes on IMAS' online portal, iLearn. Upon completion, participants will be awarded the CPD hours to fulfil the MAS requirements.

These courses are accredited by the IBF and are eligible for funding under the IBF Financial Training Scheme<sup>1</sup> (FTS).

You can access iLearn through the following link: [www.imas.org.sg/ilearn/](http://www.imas.org.sg/ilearn/) or email [iLearn@imas.org.sg](mailto:iLearn@imas.org.sg) if you have any queries.

<sup>1</sup>These courses are recognised under the Financial Training Scheme (FTS) and are eligible for FTS claims subject to all eligibility criteria being met. Please note that in no way does this represent an endorsement of the quality of the training provider and course. Participants are advised to assess the suitability of the programme and its relevance to participants' business activities or job roles. The FTS is available to eligible entities based on the prevalent funding eligibility, quantum and caps. FTS claims may only be made for recognised programmes with specified validity period. Please refer to [www.ibf.org.sg](http://www.ibf.org.sg) for more information.

**Singapore Online Training Courses**

Introduction to Anti-Money Laundering and Counter-Terrorism Financing Singapore	English
Market Manipulation in Singapore	English
Anti- Money Laundering and Counter-Terrorism Financing Singapore	English
Insider Trading in Singapore	English
AML & CFT 通用课程	Mandarin
シンガポールのマネーローンダリング対策 (AML/CFT Singapore)	Japanese
Personal Data Protection Singapore	English
Personal Data Protection in Singapore II – Protection of Electronic Personal Data and Cybersecurity Control for Personal Data	English
Strengthening Cyber Resilience Singapore	English
Guidelines on Individual Accountability and Conduct Singapore	English

**\*Only courses in English are accredited for CPD hours by IBF**

## Next steps



Access our online training courses at [www.cptnow.com](http://www.cptnow.com)



For more details about corporate training, special corporate deals, white-labelling, please contact us at [complianceasia.hk\\_training\\_team@iqeq.com](mailto:complianceasia.hk_training_team@iqeq.com)

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## Key facts and figures\*

People worldwide	Worldwide location	Assets under administration	Minimum senior team experience	Funds under administration
<b>5000<sup>+</sup></b>	<b>25</b>	<b>\$750<sup>+</sup> bn</b>	<b>20yrs</b>	<b>800<sup>+</sup></b>

## Our locations worldwide

### THE AMERICAS

Bermuda  
British Virgin Islands  
Cayman Island  
Curaçao  
USA (Austin, Bedford NH, Chicago, Dallas, Fort Worth, Houston, New York, Rapid City SD, San Francisco & West Palm Beach)

### MIDDLE EAST

United Arab Emirates

### EUROPE

Belgium  
Cyprus  
France  
Guernsey  
Ireland  
Isle of Man  
Jersey  
Luxembourg  
Switzerland  
The Netherlands  
UK

### AFRICA

Mauritius  
South Africa

### ASIA

Mainland China  
Hong Kong  
India  
Japan  
Philippines  
Singapore



\*Correct as of February 2024

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