

GUIDELINES ON INDIVIDUAL ACCOUNTABILITY AND CONDUCT SINGAPORE

Financial institutions (FIs) play a critical role in protecting the integrity of the financial system by safeguarding the interests of their customers, and ensuring that markets operate in a fair, orderly and transparent manner.

The Monetary Authority of Singapore (MAS) places strong emphasis on the culture and conduct of FIs, and has issued the Guidelines on Individual Accountability and Conduct (IAC Guidelines) to strengthen the overall accountability of senior management who are responsible for key functions in FIs, and to continue the MAS' push to promote ethical behaviour throughout FIs.

At CA Training, we have developed a comprehensive training course that can help you better understand the IAC Guidelines and how they impact you and your firm. Our course is accredited by the IBF, is eligible for CPD and is subject to the enhanced FTS funding scheme.

By completing our course, you will be able to pinpoint the expected outcomes of the IAC Guidelines, and can use these outcomes as a reference point when designing an individual accountability framework.

Topics that will be covered in this course includes:

- MAS Expected Outcomes – Objectives and Outcomes
- Role of the Board
- Internal Controls, Monitoring and Compliance
- Cross Border Transactions
- Whistleblowing

This course is readily available on our training platforms, Online Compliance Training (OCT) and CPTnow, and is US\$75 per 1.5 hour of training per person.



Our popular OCT platform can host training for corporates. Simply register with us and we will provide a bill at the end of the month depending on how many training units have been purchased

Website: www.onlinecompliance.training



CPTnow is the retail extension of OCT, enabling anyone easy access to the course as a single unit, simply by using your credit card

Website: www.cptnow.com

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