

ComplianceAsia Wins Compliance Service Provider of the Year

Singapore/Hong Kong, 15 December 2020 – ComplianceAsia has won *Compliance Service Provider of the Year* in the *3rd Regulation Asia Awards for Excellence 2020* in an online ceremony on 15 December 2020.

ComplianceAsia provides independent, unbiased advice regarding financial industry legislation and regulations to institutional clients including asset managers, hedge funds, private equity funds, family offices, broker-dealers, insurers, wealth managers and investment banks. The firm's well-developed relationships with Asia Pacific regulators and exchanges, and its strong understanding of financial regulation in the region, allows it to help clients navigate new and evolving regulatory and compliance challenges.

In particular, ComplianceAsia advises firms on licensing matters, provides training for compliance staff, and helps clients enhance their governance practices, internal controls, and risk management policies – in line with regulatory requirements. The firm also provides mock inspections and independent compliance reviews, as well as assistance in drafting and customising compliance and risk management documentation and operational manuals.

"We take compliance seriously. So the regulatory advice and compliance assistance we need has to be the best," said one of the firm's clients. "From our experience with ComplianceAsia (and we interviewed literally the entire street/competition), ComplianceAsia won hands down given their attention to detail, industry knowledge and also their overall professionalism."

ComplianceAsia was established in 2003 and has offices in Hong Kong, Singapore, Shanghai, and most recently, Tokyo and London. This year, it collaborated with IMAS (Investment Management Association of Singapore) to provide content for new online training courses for its membership to meet the IBF Core CPD training requirements.

"ComplianceAsia's in-depth understanding of the legalities and regulations across the region is something to be coveted," said one judge on the awards panel. "The firm provides a full scope, holistic compliance advisory service that would be invaluable to any financial institution in the region."

About the Regulation Asia Awards for Excellence 2020

The *Regulation Asia Awards for Excellence* recognises financial institutions, technology companies, legal and consulting firms, exchanges and other players that have helped meet the challenges of the ever-changing and increasingly complex regulatory landscape in Asia Pacific. Each year, submissions are diligently evaluated and award winners selected by a panel of industry experts serving as judges.

For a full list of the award winners, visit www.regulationasia.com/awards.

About Regulation Asia

Regulation Asia is the leading source for actionable regulatory intelligence for Asia Pacific markets. With over 8,500 subscribers, including regulatory bodies, exchanges, banks, asset managers and service providers, *Regulation Asia* plays a key role in shaping the regulatory agenda.

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