

Award Winning ComplianceAsia Opens Fifth International Office in London

In response to demand from clients based in Europe and the US for easier access to our Asian expertise, ComplianceAsia Consulting has opened its fifth international office in the heart of London. With this new office, ComplianceAsia Consulting will be able to provide on the ground assistance to UK and EU clients who are considering opening offices in the APAC region or who require time-zone friendly support in relation to their existing Asian offices particularly for clients who are now curtailed from making the usual business trips to Asia due to the ongoing limitation caused by COVID-19. We are delighted to introduce Rob Lind, who is transferring from our Hong Kong office as our Senior Representative for UK and Europe.

As Asia's first independent compliance consulting firm, ComplianceAsia Consulting has been operating since 2003, with offices in Hong Kong, Singapore, Shanghai and Tokyo. ComplianceAsia Consulting has over 70 staff, including compliance experts with substantial experience in dealing with regulatory authorities in the APAC region. We provide independent, unbiased advice on compliance with Asian financial industry legislation and regulation. Our clients are large and small financial services firms operating in Asia or offering services to Asian investors. Our coverage includes asset management, broking, banking, wealth management and insurance.

We provide full-service seamless support for firms who wish to establish an office in Asia, from the initial set up and assisting with your license applications to the relevant local regulators, to providing ongoing compliance support to satisfy compliance with the local regulators' requirements, and also provide a bespoke projects and advisory service tailored to clients requirements. Our regulatory change tracking service covers 19 countries in APAC and keeps our clients up to date with the latest legislative and regulatory changes and consultation papers affecting their businesses in Asia.

In addition, we provide mock inspections and compliance healthchecks for financial industry firms across the APAC region, and our experienced AML Services team based in both Hong Kong and Singapore allows us to provide cost-effective solutions to companies of varying size to ensure they remain compliant with AML rules and regulations across all Asian jurisdictions and including support for fund managers with Cayman Islands funds.

With dedicated Asian language skills we assist with Asian language marketing material reviews, Asian language KYC and due diligence documents and Asian language regulatory returns and filings.

If you would like to know more about how we can help you establish an office in the Asian region, or need assistance with compliance in your existing offices then please contact our team.

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